

*Register by April 19 to SAVE!*

# Higher Education

## Compliance Conference

June 11–13, 2023  
Phoenix, AZ



Risk Mitigation  
Strategies



Policy  
Management



Effectiveness



Champions  
Network

**PLUS:**

Receive  
complimentary  
access  
to  
HCCA's  
Research  
Compliance  
Conference

**Learn how to address emerging risks,**  
share best practices for dealing with unique  
compliance challenges in higher education,  
and make valuable industry connections.

Learn more and register  
[corporatecompliance.org/2023highered](https://corporatecompliance.org/2023highered)



Higher education has a unique set of compliance challenges—find out how to meet them at SCCE's Higher Education Compliance Conference, as you learn about emerging risks and solutions in higher learning institutions, share best practices, and network with peers and colleagues.

Higher Education Compliance Conference educational sessions will provide you with the opportunity to earn live Compliance Certification Board (CCB)<sup>®</sup> continuing education units (CEUs). Sessions are led by industry professionals and are organized by knowledge level: basic, intermediate, and advanced.

With registration, attendees also gain admittance to HCCA's Research Compliance Conference held at the same time.

## Session topics

- Title IX
- Clery Act
- Privacy and security
- Data breach
- Compliance training
- Risk assessments
- Conflicts of interest
- Investigations

## Session levels

**Basic:** Program knowledge level most beneficial to Compliance Professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

**Intermediate:** Program knowledge level that builds on a basic program, most appropriate for Compliance Professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

**Advanced:** This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations, and professionals with specialized knowledge in a subject area.

## Who should attend?

- Compliance and ethics officers
- Academic compliance managers
- Title IX compliance officers
- It compliance directors and managers
- CFOs, accountants, and others in finance
- Internal auditors
- University privacy officers
- General counsel

## SCCE's mission

Society of Corporate Compliance and Ethics<sup>®</sup> (SCCE) exists to champion ethical practices and compliance standards and to provide the necessary resources for ethics and compliance professionals and others who share these principles.

# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

## SUNDAY, JUNE 11 (Pre-Conference)

7:00 AM–5:30 PM	Registration Open	
8:00–9:30 AM	<b>P1</b> The Evolving Role of Compliance in Higher Education: A Practical Guide	<b>P2</b> Building a Champions Network to Support Compliance in Higher Education
9:30–9:45 AM	Networking Break	
9:45–11:15 AM	<b>P3</b> Real Talk: Policy Management Headaches and How to Avoid Them	<b>P4</b> Building a Culture of Compliance and Trust
11:15 AM–12:45 PM	Lunch (on own)	
12:45–2:15 PM	<b>P5</b> Conflict of Interest, NSPM-33, and DPI: Implications for Your Research Compliance Program	<b>P6</b> The Hotline Is Ringing—Now What? Best Practices for Managing and Coordinating Investigations in an Academic Environment
2:15–2:30 PM	Networking Break	
2:30–4:00 PM	<b>P7</b> Reading Body Language for Audit Compliance	<b>P8</b> Compliance Officers Roundtable
4:00–5:30 PM	Opening Reception	

## MONDAY, JUNE 12

7:00 AM–6:00 PM	Registration Open	
8:00–8:15 AM	Welcome and Opening Remarks	
8:15–9:15 AM	GENERAL COMPLIANCE SESSION: TBA	
9:15–9:45 AM	Networking Break (Exhibit Hall)	
9:45–10:45 AM	<b>101</b> Report Card for Your Compliance Program: How to Start or Continue Measuring Your Program's Quality and Effectiveness	<b>102</b> Title IX: Practice Pointers for Compliance Perfection
10:45–11:00 AM	Networking Break	
11:00 AM–12:00 PM	<b>103</b> International Research Security and Compliance: Hot Topics for 2023	<b>104</b> Measuring the Effectiveness of Risk Mitigation Strategies
12:00–1:00 PM	Lunch (provided)	
1:00–2:00 PM	<b>105</b> University Export Control Compliance Programs	<b>106</b> Minimizing Risk, Maximizing Impact: New Approaches to Compliance and Internal Audit Partnerships
2:00–2:15 PM	Networking Break	
2:15–3:15 PM	<b>107</b> The Alliance of Awesome: Policy and Investigator Partnerships That Win!	<b>108</b> Assessing Non-Disclosure of Foreign Activity
3:15–3:45 PM	Networking Break	
3:45–4:45 PM	<b>109</b> Deconflicting Conflicts of Interest	<b>110</b> Monitoring vs. Assurance: Managing Risk in a Decentralized Research Environment
4:45–6:15 PM	Networking Reception	

## TUESDAY, JUNE 13

7:00 AM–4:30 PM	Registration Open	
8:00–9:00 AM	GENERAL SESSION: Privacy Law—Year in Review	
9:00–9:30 AM	Networking Break (Exhibit Hall)	
9:30–10:30 AM	<b>111</b> The Risk May Be Real: A Refresh on DOJ's Corporate Compliance Policies and Their Application to Institutions of Higher Education	<b>112</b> Use What You Know: Applying Foundational Compliance Principles to Clery Act Requirements
10:30–10:45 AM	Networking Break	
10:45–11:45 AM	<b>113</b> Compliance Session TBA	<b>114</b> You're Not a Unicorn: Managing Compliance Without a JD
11:45 AM–12:45 PM	Lunch (provided)	
12:45–1:45 PM	<b>115</b> Weathering Tough Times: The Role of the Institutional Compliance Officer in Helping the Institution Navigate Institutional Crisis	<b>116</b> Investigations, Root Cause, and Corrective Actions: Tools, Tips & Tricks
1:45–2:00 PM	Networking Break	
2:00–3:00 PM	<b>117</b> Three Ways to Ensure Effective Relationships between System Offices and Member Institutions	<b>118</b> Compliance Session TBA
3:00–3:15 PM	Networking Break	
3:15–4:15 PM	GENERAL COMPLIANCE SESSION: TBA	

## Sunday, June 11 Pre-Conference

7:00 AM–5:30 PM

Registration Open

8:00–9:30 AM

### P1 The Evolving Role of Compliance in Higher Education: A Practical Guide

Level: Basic

KATLYN ANDREWS, Manager, Baker Tilly

ALEJANDRO DIAZ, Chief Compliance Officer, Dartmouth College

- Discuss some of the unique challenges compliance presents for institutions of higher education
- Explore various organizational and reporting structures for compliance at colleges and universities
- Discover how compliance offices facilitate and/or support enterprise risk management (ERM) processes

### P2 Building a Champions Network to Support Compliance in Higher Education

Level: Intermediate

MATTHEW SILVERMAN, Global Trade Director & Senior Counsel, VIAVI Solutions

TRACY ARWOOD, Chief Ethics and Compliance Officer, Clemson University

- Understanding the psychology behind the spread of ideas and how to influence your network
- Learning the key steps and overall process in developing a successful champions network
- Examining the components of a real-world champions network at an institution of higher education

9:30–9:45 AM

Networking Break

9:45–11:15 AM

### P3 Real Talk: Policy Management Headaches and How to Avoid Them

Level: Intermediate

DESIREE K. RAMIREZ, Senior Vice President and Chief Integrity Officer, UNT Health Science Center

ANDREA FALCIONE, Chief Ethics & Compliance Officer, Head of Advisory Services, Rethink Compliance LLC

- Policies: Are they centrally located? Can employees find what they need? Do they answer key questions?
- Learn how often to update your policies and create an effective policy management plan
- See how UNTHSC transformed its large bank of policies so employees can actually use them

### P4 Building a Culture of Compliance and Trust

Level: Intermediate

KATY GALLOWAY, Compliance Coordinator, The University of Alabama

MARCY R. HUEY, Executive Director of Institutional Compliance, The University of Alabama

JENNIFER CLARK, Institutional Compliance Coordinator, The University of Alabama

- Explore strategies for managing common roadblocks
- Use marketing tools to create a trustworthy internal “brand” for your department
- Define reasonable expectations based on the culture of your campus

11:15 AM–12:45 PM

Lunch (on own)

12:45–2:15 PM

### P5 Conflict of Interest, NSPM-33, and DPI: Implications for Your Research Compliance Program

Level: Intermediate

MICKI R. JERNIGAN, Consulting Manager, Huron Consulting Group

REBECCA SCOTT, Senior Associate Consultant, Huron Consulting Group

- Discuss the background and major provisions of the presidential memo
- Analyze the changes to COI disclosures and the grant application process, including DPI’s
- Deliver guidance on how to prepare, and what steps research institutions can take now

### P6 The Hotline Is Ringing— Now What? Best Practices for Managing and Coordinating Investigations in an Academic Environment

Level: Intermediate

KIMBERLY L. FEARNEY, Chief Compliance Officer, University of Connecticut

JORDAN SEGALL, Legal Counsel, Ethics and Compliance, Xylem, Inc.

ROBERT F. ROACH, Senior Advisor, Guidepost Solutions LLC

- Discuss techniques for structuring your investigations program and conducting effective investigations
- Learn best practices for overseeing and coordinating compliance investigations with other departments
- Learn how to meet recent DOJ and Federal Agency reporting requirements when conducting investigations

2:15–2:30 PM

Networking Break

2:30–4:00 PM

## P7 Reading Body Language for Audit Compliance

Level: Intermediate

JERRY BALISTRERI, Trainer, Self-Employed

- Develop skills in reading non-verbal tells in the workplace
- Detect deception in any situation
- Describe the three requirements to detecting deception

## P8 Compliance Officers Roundtable

Level: Intermediate

NEDRA D. ABBRUZZESE-WERLING, Associate Vice President for Compliance Services, Boston University

- The opportunity to understand the issues and challenges that face other compliance officers
- Strategies for strengthening compliance programs and providing adequate responses to emerging issues
- Adapting your program during unprecedented regulatory, political, and media scrutiny

4:00–5:30 PM

## Opening Reception

# Monday, June 12

7:00 AM–6:00 PM

## Registration Open

8:00–8:15 AM

## Welcome and Opening Remarks

8:15–9:15 AM

## General Compliance Session: TBA

9:15–9:45 AM

## Networking Break (Exhibit Hall)

9:45–10:45 AM

## 101 Report Card for Your Compliance Program: How to Start or Continue Measuring Your Program's Quality and Effectiveness

Level: Basic

AMINAH K. MASSENBURG, Director of Compliance & Privacy Officer, The College of New Jersey

KYRA CASTANO, Baker Tilly

- Discuss hot topic compliance risks in higher education & understand how they could impact your institution
- Understand what TCNJ and other institutions are doing to measure program quality and effectiveness
- Learn how to create action plans after self, peer, and consulting reviews

## 102 Title IX: Practice Pointers for Compliance Perfection

Level: Intermediate

ASHLEY LYNAM, Attorney, Montgomery McCracken Walker & Rhoads

KACIE KERGINES, Attorney, Montgomery McCracken Walker & Rhoads

- Review Title IX comment period leading to current promulgated regulations
- Discuss current regulations, compliance requirements, major policy-changes required and implementation concerns
- Provide recommendations and advice to avoid litigation and promote safe, compliant campus community

10:45–11:00 AM

## Networking Break

11:00 AM–12:00 PM

## 103 International Research Security and Compliance: Hot Topics for 2023

Level: Intermediate

KENNETH J. LIDDLE, Chief Compliance Officer and Assistant General Counsel, Northeastern University

ROBERT F. ROACH, Senior Advisor, Guidepost Solutions LLC

CHETNA KOSHY, Chief Compliance Officer, Rice University

- Learn the latest news on protecting international research, federal enforcement and legislative action
- Discover recent developments in US and foreign export controls, OFAC regs and CFIOUS requirements
- Discuss latest trends in anticorruption laws: FCPA, UK anti-bribery and other international regimes

## 104 Measuring the Effectiveness of Risk Mitigation Strategies

Level: Intermediate

OLIVIA H. WATSON, Director, Enterprise Risk Management, University of Massachusetts

- Develop an understanding of the relationship between risk assessment and risk mitigation
- Apply a risk assessment process as a baseline for measuring risk mitigation
- Identify how to measure effectiveness of risk mitigation strategies in reducing risk exposure

12:00–1:00 PM

Lunch (provided)

1:00–2:00 PM

## 105 University Export Control Compliance Programs

Level: Basic

SCOT ALLEN, Research Compliance Officer, Colorado School of Mines

SHEILA CRANMAN, Assistant Chief Counsel - Export & Trade, Georgia Institute of Technology

- Discuss export control basics and federal agency requirements for export compliance programs
- Learn what the GAO recommends for university export control programs
- Is your export compliance program the best it can be? Evaluate strategies to improve and educate

## 106 Minimizing Risk, Maximizing Impact: New Approaches to Compliance and Internal Audit Partnerships

Level: Intermediate

ASHLEY FOUNTAINE, Director of Ethics and Compliance, George Washington University

JOHN KISS, BDO

- Provide tools and resources for effective compliance risk tracking and internal audit collaboration
- Identify areas of emerging compliance risk and provide examples of how compliance and internal audit partner together in these areas for enhanced risk management and service delivery
- Facilitate a discussion around current and evolving practices in compliance and internal audit partnerships, providing opportunity for participants to engage and share

2:00–2:15 PM

Networking Break

2:15–3:15 PM

## 107 The Alliance of Awesome: Policy and Investigator Partnerships That Win!

Level: Basic

KELLY E. CROSS, Institute Policy Manager, Georgia Institute of Technology

LATEISHA Y. JEANNIS, Ethics and Compliance–Investigations, Georgia Tech

- Structures to facilitate partnerships and lessons learned by Investigators and Policy Administrators
- Input and guidelines from policy owner vs subject area expert vs investigator
- Identification and pursuit of resolution of gaps identified in policy review and investigations

## 108 Assessing Non-Disclosure of Foreign Activity

Level: Intermediate

MELISSA B. HALL, Director, Investigations, Georgia Institute of Technology

AARON COHEN, Ethics & Compliance Investigator, Georgia Institute of Technology

- Learn what foreign activity is and why it is important that faculty and researchers disclose it
- Discover what Institutional data and open-source data are useful to perform a risk assessment
- Identify best practices to review and assess non-disclosed foreign activity risk

3:15–3:45 PM

Networking Break

3:45–4:45 PM

## 109 Deconflicting Conflicts of Interest

Level: Basic

ISABELLE HOLCOMBE, Institutional Compliance Specialist, The University of Alabama

MARCY R. HUEY, Executive Director of Institutional Compliance, The University of Alabama

- Gain executive support and departmental buy-in to create and execute a centralized COI process
- Assess existing processes and improve coordination, efficiency and minimize redundancy
- Streamline disclosure submissions and reporting processes

## 110 Monitoring vs. Assurance: Managing Risk in a Decentralized Research Environment

Level: Intermediate

KRISTA T. KENNEY, Associate Director, Sponsored Programs Assurance and Research Compliance, Duke University

VANESSA L. PEOPLES, Director, Sponsored Programs Assurance and Research Compliance, Duke University

- Review key elements of an effective compliance program based on U.S. Department of Justice guidance
- Understand the three lines of defense model and how it can be used in effective risk management
- Understand how both monitoring and assurance activities are important in mitigating risks with practical examples

4:45–6:15 PM

## Networking Reception

# Tuesday, June 13

7:00 AM–4:30 PM

## Registration Open

8:00–9:00 AM

## General Session: Privacy Law—Year in Review

ALEXANDER R. BILUS, Partner, Saul Ewing Arnstein & Lehr LLP

KENNETH J. LIDDLE, Chief Compliance Officer and Assistant General Counsel, Northeastern University

- Understand changes to privacy law at all levels - including state, federal, and international
- Prepare for the emerging role of Artificial Intelligence (AI) and biometric data on campus
- Look beyond a CISO: Is it time to establish a privacy program on your campus?

9:00–9:30 AM

## Networking Break (Exhibit Hall)

9:30–10:30 AM

## 111 The Risk May Be Real: A Refresh on DOJ's Corporate Compliance Polices and Their Application to Institutions of Higher Education

Level: Intermediate

MELISSA WHEATCROFT, General Counsel, Rowan University

DEBORAH G. SOLMOR, General Counsel, TCS Education System

TERANCE A. GONSALVES, Partner, Alston & Bird LLP

- Summarize DOJ's guidance on corporate compliance programs and enforcement actions
- Discuss best practices and how compliance programs are evolving in light of DOJ's guidance
- Review how institutions of higher education should be thinking about DOJ's guidance

## 112 Use What You Know: Applying Foundational Compliance Principles to Clery Act Requirements

Level: Intermediate

MELINDA LATAS, Director of Clery and Campus Safety Compliance, California State University Office of Chancellor

- Developing policies that will anchor your campus Clery compliance program
- Training partners based on values, priorities and their specific role in of the compliance puzzle
- Linking controls to key business and investigative processes, to optimize efficiency and enhance completeness of data sets

10:30–10:45 AM

## Networking Break

10:45–11:45 AM

## 113 Compliance Session TBA

### 114 You're Not a Unicorn: Managing Compliance Without a JD

Level: Intermediate

STEPHANIE BARBER, Compliance Director, Columbia University

TESSA LUCEY, Director of Compliance Services, Chief Privacy Officer, University of Vermont

- Leverage your skills, expertise and relationship building that brought you here in the first place
- Addressing the issue of attorney-client privilege and when to engage legal
- Discuss different possible career paths to overseeing compliance in higher education and suggestions on continued development

11:45 AM–12:45 PM

Lunch (provided)

12:45–1:45 PM

### 115 Weathering Tough Times: The Role of the Institutional Compliance Officer in Helping the Institution Navigate Institutional Crisis

Level: Intermediate

KAREN P. SOLINSKI, Compliance Consultant, KLS Higher Ed Compliance Consulting

- Identify the external and internal situations that can lead to institutional crisis
- Outline approaches for the Compliance Office to navigate institutional crisis situations
- Strategize improvement strategies to address the question of "what have we learned" from crisis

### 116 Investigations, Root Cause, and Corrective Actions: Tools, Tips & Tricks

Level: Intermediate

KELE PIPER, Director, Research Compliance, Massachusetts General Hospital

ELEANOR KUSZMAR, Associate Director for Research Compliance, Harvard Medical School

- An organized approach to conducting an investigation: checklists, timelines, scoping, reports
- I know what happened, how do I figure out why? Using Root Cause Analysis to determine issues
- How do I make sure it doesn't happen again? Multi-Level: corrective action plans to address issues

1:45–2:00 PM

Networking Break

2:00–3:00 PM

### 117 Three Ways to Ensure Effective Relationships between System Offices and Member Institutions

Level: Intermediate

JANET U. GORDON, System Ethics & Compliance Officer, Texas A&M University System

JOHN C. LARUE, Chief Ethics & Compliance Officer, Texas A&M–Corpus Christi

- Communicate effectively across organizational silos within and between university and system offices
- Collaborate to ensure effective policies and procedures supported on campus and throughout system
- Cooperate on initiatives, investigations, and change management for proper oversight and compliance

### 118 Compliance Session TBA

3:00–3:15 PM

Networking Break

3:15–4:15 PM

### General Compliance Session: TBA

## Wednesday, June 14

7:45 – 8:00 AM

Certified Compliance & Ethics Professional (CCEP)<sup>®</sup> Exam (optional) Check-In

8:00 – 11:00 AM

Certified Compliance & Ethics Professional (CCEP)<sup>®</sup> Exam (optional)



# Higher Education Compliance Conference

June 11–13 • Phoenix, AZ



## SECTION 1 Attendee Information

Mr  Mrs  Ms  Dr  Other \_\_\_\_\_ Member/Account ID (if known/applicable) \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_ Job Title \_\_\_\_\_

Organization (name of employer) \_\_\_\_\_

Street Address \_\_\_\_\_ City/Town \_\_\_\_\_

State/Province \_\_\_\_\_ Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_ Email (required) \_\_\_\_\_

## SECTION 2 Registration

### Options

	On/Before 4/19/23	After 4/19/23
<input type="checkbox"/> Member (Monday & Tuesday)	\$850	\$999
<input type="checkbox"/> Non-Member (Monday & Tuesday)	\$1,049	\$1,199
<input type="checkbox"/> Registration + First-Time Membership Offer*	\$1,075	\$1,224
<input type="checkbox"/> Member Pre-Conference (Sunday)	FREE	\$259
<input type="checkbox"/> Non-Member Pre-Conference (Sunday)	FREE	\$289

\*Save by joining today (first-time members only). Dues renew at \$325.

\*\*Free only with paid Monday & Tuesday conference registration.

### Group Discount

<input type="checkbox"/> Group Discount for 3–9**	(\$50)
<input type="checkbox"/> Group Discount for 10 or More**	(\$100)

\*\*\*See "Group Discount Policy" in Acknowledgements below.

**TOTAL (TAX MAY APPLY) \$ \_\_\_\_\_**

### Dietary Needs Request (for in-person attendees only)

- Gluten Free  Kosher Certified  Lactose Intolerant  No Red Meat/Pork  Nut Allergy  
 Shellfish/Seafood Allergy  Vegan  Vegetarian  Other \_\_\_\_\_

**On-Site Cell Phone** (for emergency on-site use only) \_\_\_\_\_

**SCCE Membership:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [corporatecompliance.org/membership/tandc](http://corporatecompliance.org/membership/tandc). To see the full use of your information or if you wish to opt-out, visit [corporatecompliance.org/privacy](http://corporatecompliance.org/privacy).

**Opt-Out:** Select if you would like to opt-out of the following:

- Online Member Directory: SCCE's member directory lists first and last name, organization, title, address, and phone number.

## SECTION 3 Payment

Online registration with credit card payment at [corporatecompliance.org/2023highered](http://corporatecompliance.org/2023highered)

Mail a check to SCCE, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact SCCE for applicable tax and total)

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact SCCE for an invoice with applicable taxes. Registration is not complete until full payment is received. Payments received with incorrect amounts will be returned. Due to PCI compliance, do not provide credit card information via email.

Email [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) or call SCCE at +1 952.933.4977 or 888.277.4977.

- Invoice me    Purchase Order Number (attach PO) \_\_\_\_\_
- Wire transfer requested

## SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at [corporatecompliance.org/conference/tandc](http://corporatecompliance.org/conference/tandc), including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit [corporatecompliance.org/privacy](http://corporatecompliance.org/privacy).

By registering for this event, you also agree that you have read and agree to the Personal Accountability Commitment, the Assumption of Risk, and the Liability Waiver and Release viewable at [corporatecompliance.org/conference/tandc](http://corporatecompliance.org/conference/tandc).

**Registration Payment Terms:** Tax may apply. Your registration is not complete until the full registration payment is received. Access to the event will not be allowed until all fees have been paid. SCCE reserves the right to cancel your registration if we do not receive payment by the start date of the event.

**Group Discount Policy:** Registration for group discounts should be submitted online in one transaction. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

**New Members:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [corporatecompliance.org/membership/tandc](http://corporatecompliance.org/membership/tandc).

**Photo/Video Release:** By registering for this event, you grant SCCE, or anyone authorized by SCCE, the right to use or publish in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

## Frequently Asked Questions

### Where will the conference take place?

**Sheraton Grand at Wild Horse Pass**  
5594 West Wild Horse Pass Boulevard, Phoenix, AZ 85226

A reduced rate of \$209 per night (plus a \$15 daily resort fee and applicable taxes; currently 13%, subject to change) for standard room with single/double occupancy has been arranged. To make reservations, visit [bit.ly/2023HeRe](http://bit.ly/2023HeRe) or call 866.837.4156 and ask for the SCCE Higher Education and HCCA Research Compliance Conference group rate. All reservations must be guaranteed and accompanied by a first night room deposit or guaranteed with a major credit card. The cutoff date to receive this event rate is 5:00 PM Arizona time on Thursday, May 18, 2023.

PLEASE NOTE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact HCCA at 952.988.0141 or 888.580.8373.

### What is included in the cost of my attendance?

You will receive access to the sessions, supplemental conference materials, networking opportunities, exhibitor booths, and a complimentary lunch.

### What COVID-19 safety precautions will be implemented?

SCCE considers the health and safety of all those at in-person programs a top priority. Although participants should recognize that there is risk involved in attending, SCCE will follow the safety recommendations/guidelines provided by the CDC and other state and local government agencies in place at the time of the event. Additionally, SCCE will follow the venue requirements and work with the venue to provide a safe and enjoyable environment for all participants.

### Can I see what sessions will be presented before I arrive on-site?

Yes, program information is posted on the conference website.

### Will I receive a recording of this conference?

No. Registered attendees must participate in this event in real time as recordings are not available for any missed sessions. No audio or video recording by attendees is allowed.

### Is there a group discount, and if so, what is it?

Yes, we offer discounts for groups of three or more from the same organization for all our live in-person and virtual events (excluding webinars).

Registration for group discounts should be submitted online in one transaction. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

For groups of 20 or more, please call +1 952.933.4977 or 888.277.4977 or email [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org).

### What do I get with “Registration + First Time Membership?”

If you've never been an SCCE member, you can register as a First-Time Member. This gives you SCCE membership at a discounted rate for your first year. You also receive the member rate for the conference. As a member you receive all SCCE member benefits (discounts, *CEP* monthly magazine, *Ethikos*® digital quarterly newsletter, member exclusive webinars, and more). A full list of benefits can be viewed at [corporatecompliance.org/membership](http://corporatecompliance.org/membership). Your membership will begin once payment is received.

### Can I get the member rate if I am an HCCA member instead of SCCE or vice versa?

Yes. As a member of SCCE or HCCA, you can receive the membership discount for both organizations' events, but this cannot be done online. Please send your registration form via email to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) to complete your registration.

### How can I cancel my registration?

If you need to cancel your participation (or send a substitute), your request must be submitted by email to [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org). Cancellations received less than 14 calendar days prior to an event start date are subject to a \$75 cancellation fee. No refunds will be given for cancellations received on or after the start date of the event.

Alternatively, you may choose to send a substitute attendee in your place up to two business days prior to the event date. An additional fee may apply depending upon the membership status of the substitute.

### Who can I notify of special needs or concerns prior to the event?

Please call SCCE at +1 952.933.4977 or 888.277.4977 or email [helpteam@corporatecompliance.org](mailto:helpteam@corporatecompliance.org) if you have a special need and/or require an accommodation to participate.

## Continuing Education

### Can I earn continuing education units (CEUs) for attending this conference?

Yes. This conference offers live Compliance Certification Board (CCB)® continuing education units (CEUs) for participation as well as other external credit types.

To see the most up-to-date CEU approval information, go to [corporatecompliance.org/2023higher](http://corporatecompliance.org/2023higher) and choose the Continuing Education option on the left-hand menu.

### How many CEUs will I learn from attending?

CEUs are assessed based on actual attendance and credit type requested. Should the overall number of education hours you attend or that this conference offers decrease or increase, the maximum number of CEUs available will be changed accordingly.

### How do I request CEUs following this conference?

Following this conference, you will be provided the Application for Continuing Education Units (CEUs). To receive CEUs, you must submit this completed application following the conference to [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Only registered attendees are eligible to request CEUs for participation.

### When will I receive my CEU certificate for participation?

Once your completed Application for Continuing Education Units (CEUs) has been received by our staff, your CEU account will be updated within 2–4 weeks. To view your CCB CEUs and access your certificate, you can log in to your online [corporatecompliance.org](http://corporatecompliance.org) account, go to your Account Dashboard, and scroll down to View My CEUs.

### I would like to sit for one of the Compliance Certification Board (CCB)® exams following this conference; will I qualify?

In order to qualify for a CCB certification exam, you must review the applicable Candidate Handbook found at [corporatecompliance.org/candidate-handbooks](http://corporatecompliance.org/candidate-handbooks) to ensure you meet the CEU requirement as well as the work experience requirement.

### I have reviewed the Candidate Handbook and want to apply for the exam as soon as the conference concludes; what's next?

Immediately following the conclusion of the conference, if you have reviewed your Candidate Handbook, submitted your Application for Continuing Education Units (CEUs), and confirmed you will meet the CEU requirements, you can go ahead and apply online for your exam at [corporatecompliance.org/apply-exam](http://corporatecompliance.org/apply-exam).

### Can I take my exam remotely?

Yes, CCB offers the flexibility for candidates to take their exam remotely, at a local testing site, or following certain SCCE conferences. To learn more about our various testing options, visit SCCE's website, [corporatecompliance.org/exam-information](http://corporatecompliance.org/exam-information).

### I have more questions about exams and seeking certification; who can help me?

For more questions about CCB certifications, call to speak to a Certification Specialist at +1 952.933.4977 or 888.277.4977 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org).

## Event Terms and Conditions for In-person Attendees

**Personal Accountability Commitment:** Any public space where other people are present holds an inherent risk of exposure to COVID-19 and other communicable diseases. I will take necessary precautions while at the event, including but not limited to, personal hygiene and hand sanitization, adherence to pathway signage, and self-monitoring and self-reporting.

You are asked to contact SCCE at [april.kiel@corporatecompliance.org](mailto:april.kiel@corporatecompliance.org) if you experience symptoms of COVID-19 within 10 days after participating in the SCCE event. Any private health or personal data that may be received by SCCE in connection with such measures and precautions will be treated as confidentially as possible. You should not attend an SCCE event if you are experiencing, or within the 10 days prior to the program have experienced, symptoms associated with the flu or COVID-19. You also should not attend if you believe that you may have been exposed to a confirmed or suspected case of COVID-19 or have been diagnosed with COVID-19 and are not yet cleared as non-contagious by state or local public health authorities or the healthcare team responsible for your treatment.

**Assumption of Risk:** By submitting this registration, I acknowledge the contagious nature of COVID-19 and other communicable diseases, and voluntarily assume the risk that I may be exposed to or infected by COVID-19 or other communicable disease by attending this SCCE event and the consequences of such exposure. It is my choice to participate in this event, knowing that attending this event may increase the risk of becoming exposed to and infected by COVID-19 or other communicable disease. I voluntarily agree to assume the risk of contracting COVID-19 or other communicable disease, and I accept sole responsibility for any injury or illness to myself or others.

**Liability Waiver and Release:** In consideration of being permitted to participate in this SCCE event, I hereby waive, release from liability, assume all risks, and covenant not to sue SCCE & HCCA or its officers, board members, employees, agents, and representatives (the "SCCE & HCCA Parties") for any expense, loss, damage, personal injury (including loss of life, disability, or serious harm), property damage or theft, negligence, or actions (each, a "Loss") resulting from or arising in connection with my travel to, attendance at, or participation in the SCCE event and any related activities unless said Loss is caused by the sole, gross negligence of SCCE. I further hereby release, agree not to sue, discharge, and hold harmless SCCE & HCCA, its officers, board members, employees, agents, and representatives, from all Losses relating to COVID-19 or other communicable diseases. I understand and agree that this release includes any and all claims based on the actions, omissions, or negligence of SCCE & HCCA, its officers, employees, agents, or representatives.

This assumption of risk and waiver applies even if the undersigned asserts that SCCE & HCCA was at fault for not taking greater precautions to manage exposure or infection from COVID-19 and other communicable diseases. I agree that this waiver and release shall bind me and my personal representatives, shall be enforceable to the fullest and broadest extent of the law, and, if any portion is held invalid, the remainder should continue in full legal force and effect.